

“Pediatric Feeding in a World of Medical Necessity: Outcomes that Matter”

February 9, 2017

Cuyler M Romeo, M.O.T., OTR/L, SCFES, CLC

AOTA BASC Board Member

Director of Strategic Initiatives

Mealtime Connections, LLC



IPRC
International
Pediatric Rehabilitation
Collaborative

Describe feeding, eating and swallowing (FES) dysfunction according to the ICF model,

Justify service dosage for feeding, eating, and swallowing dysfunction,

Identify current qualification standards for assessment documentation based on medical necessity,

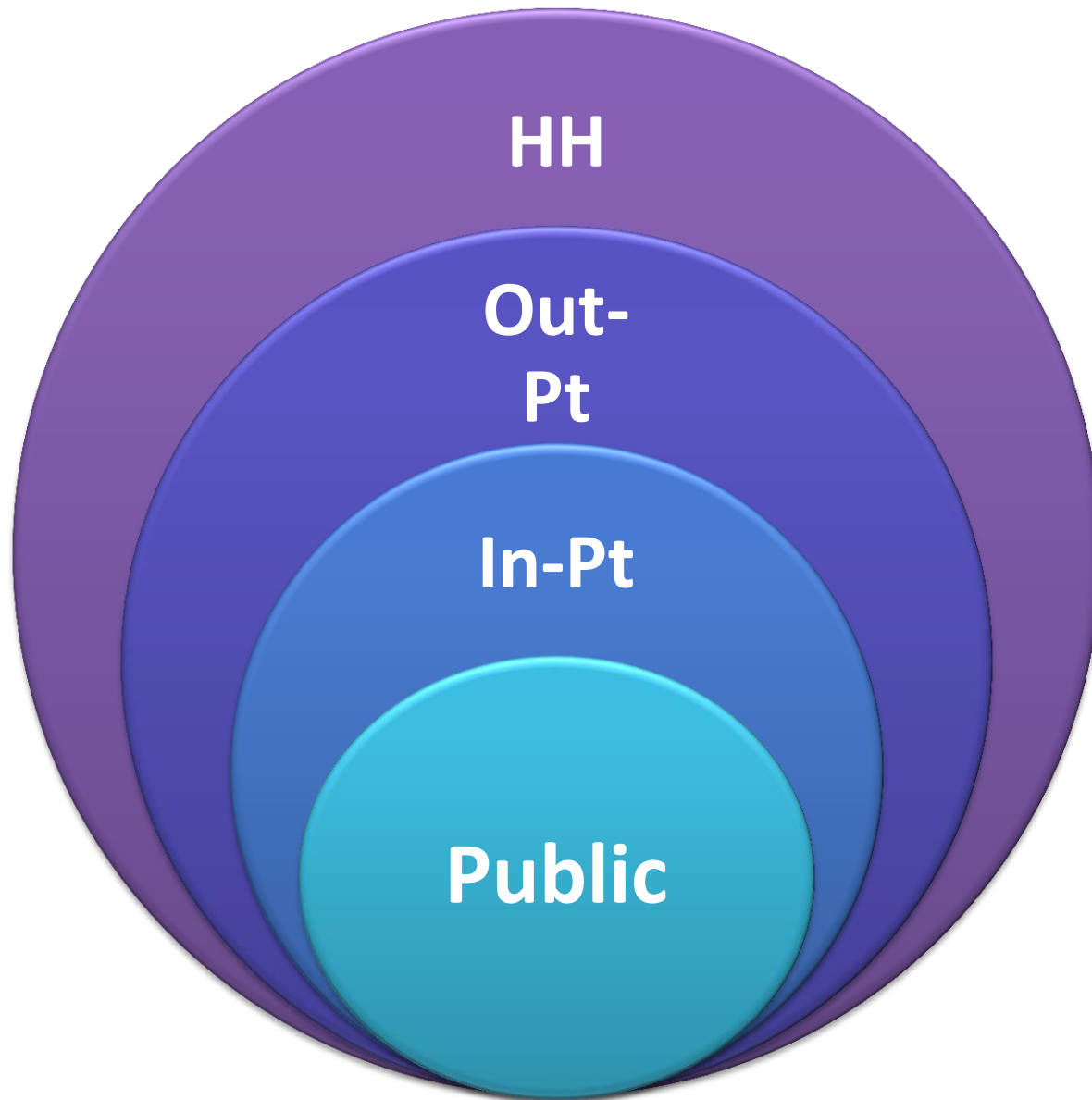
List at least 3 criterion referenced assessment tools for determining FES dysfunction.







Sharon Spall photo



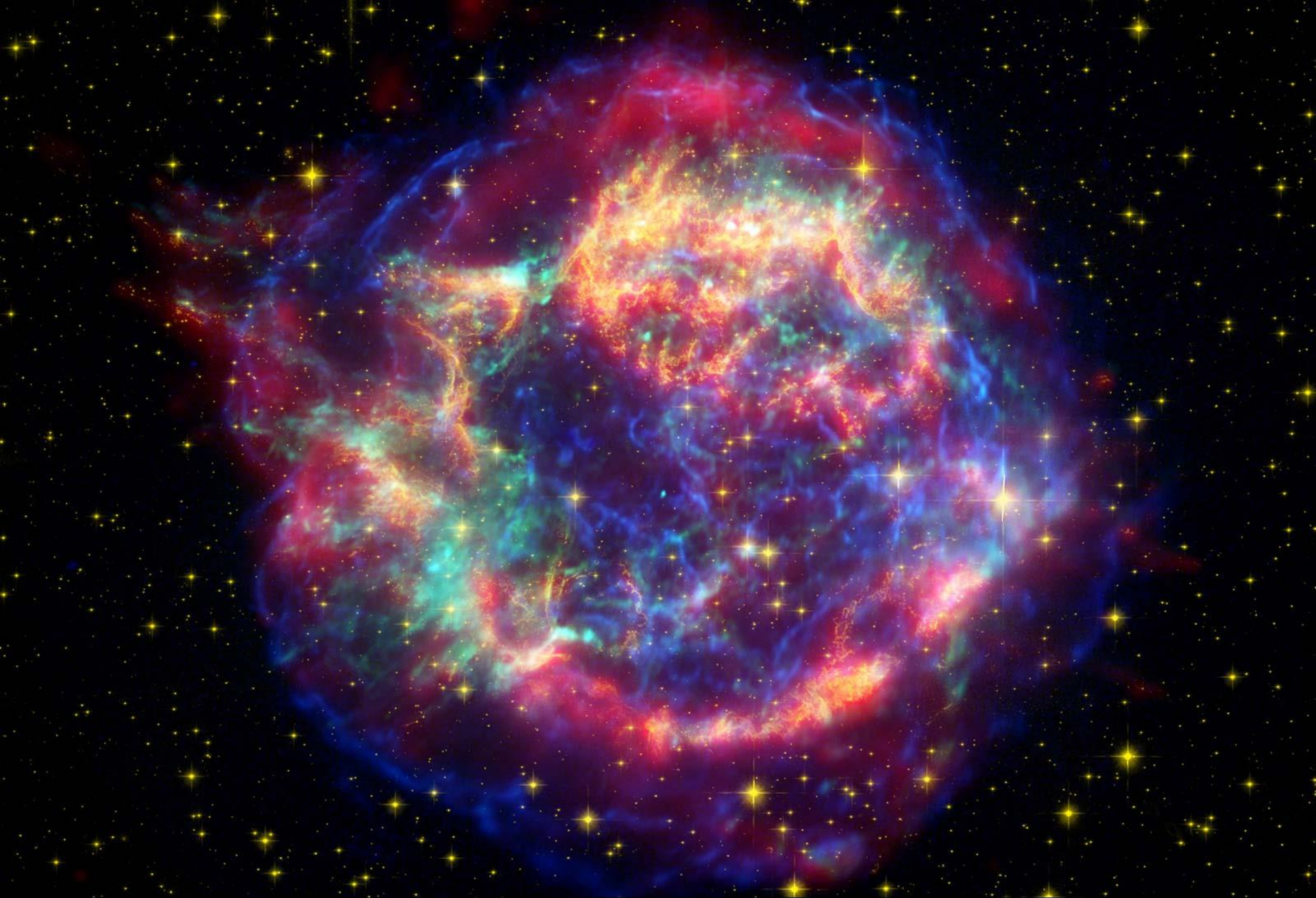


Dynamics of Healthcare

“The US health care system is the most costly in the world, accounting for 17% of the gross domestic product with estimates that percentage will grow to 20% by 2020.”

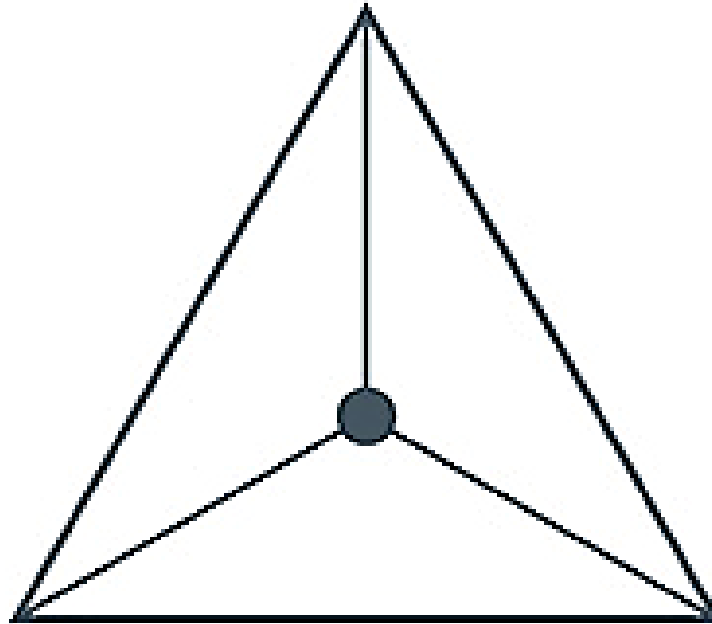
National Healthcare Expenditure Projections, 2010-2020, CMS





The IHI Triple Aim

Population Health



Experience of Care

Per Capita Cost



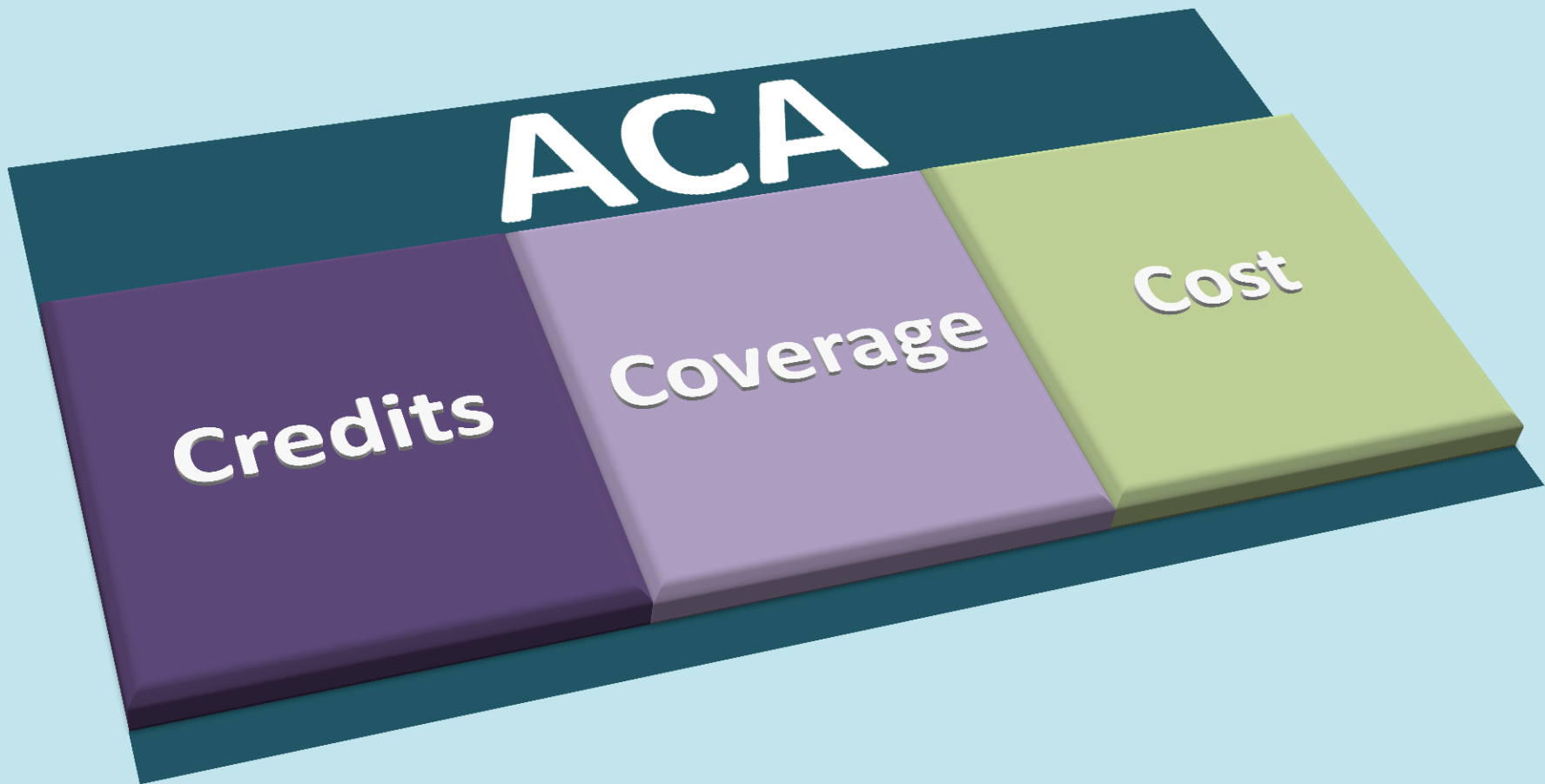
Contain
Cost



Improve
Outcomes



Increase
Satisfaction





Medical Necessity

“[services] which are reasonable and necessary for the treatment of illness or injury or to improve the functioning of a malformed body member.”

Title XVIII of the Social Security Act, section 1862 (a)(1)(a)



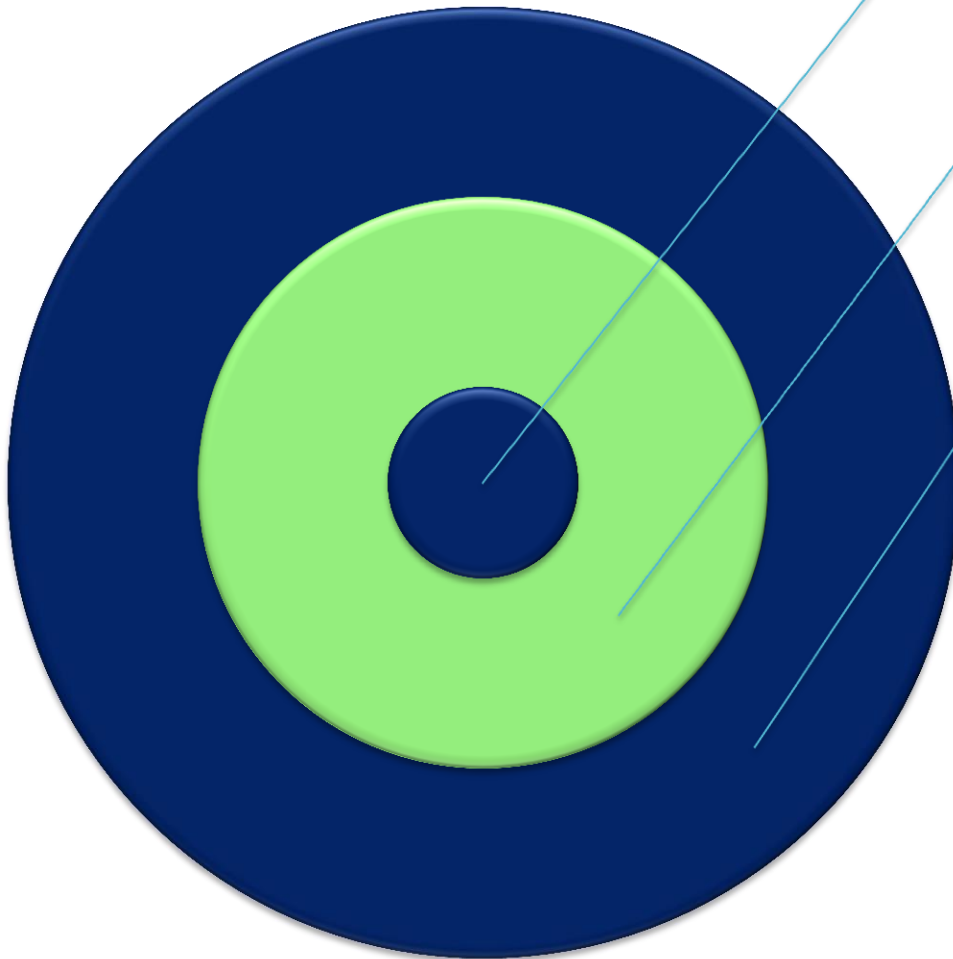
Eligibility

Ethics

***Medically
necessary for
coverage***

**Medically
indicated**

**Beneficial to
health and
well-being**



**Standards of medical
practice**

**Clinically
appropriate**

**Not of convenience,
not more costly**

Standards of medical practice

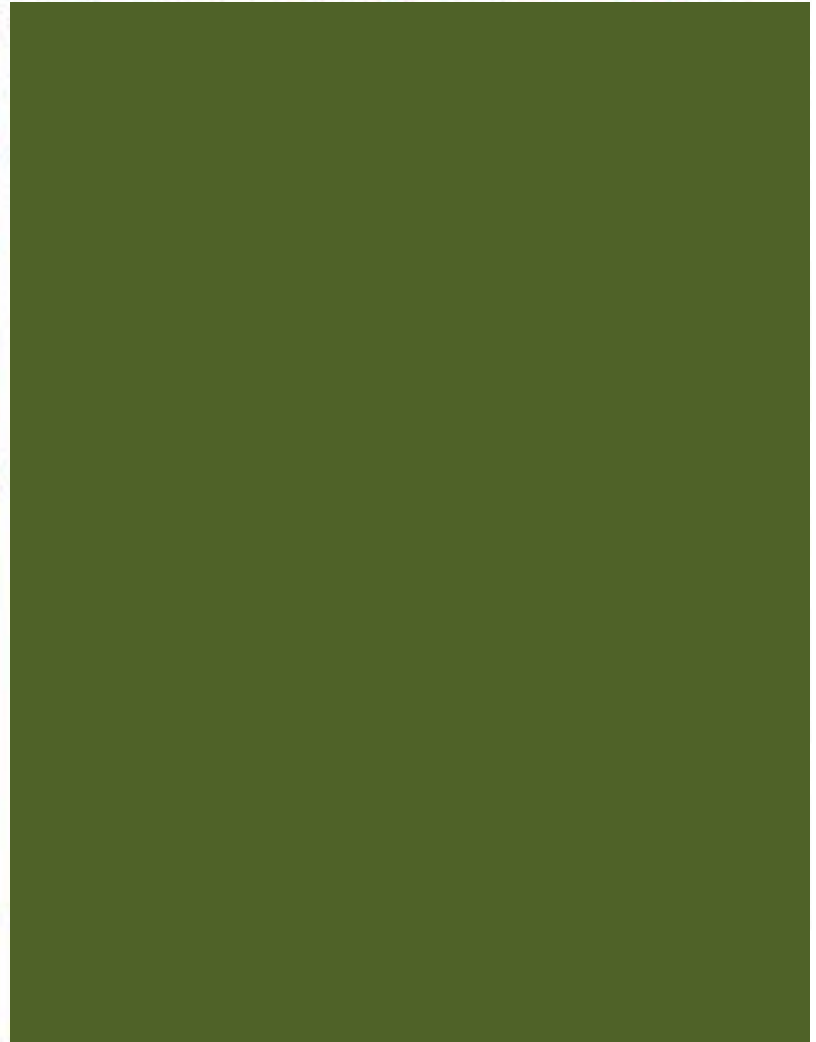
- Credible scientific evidence published in peer-reviewed medical literature
- Consistent with standards for clinical judgement

Clinically appropriate

- Type
- Frequency
- Extent
- Site
- Duration
- Effective for dx

Not of convenience; not more costly

- Patient
- Provider
- At least as likely to produce equivalent results



Equality



Equality

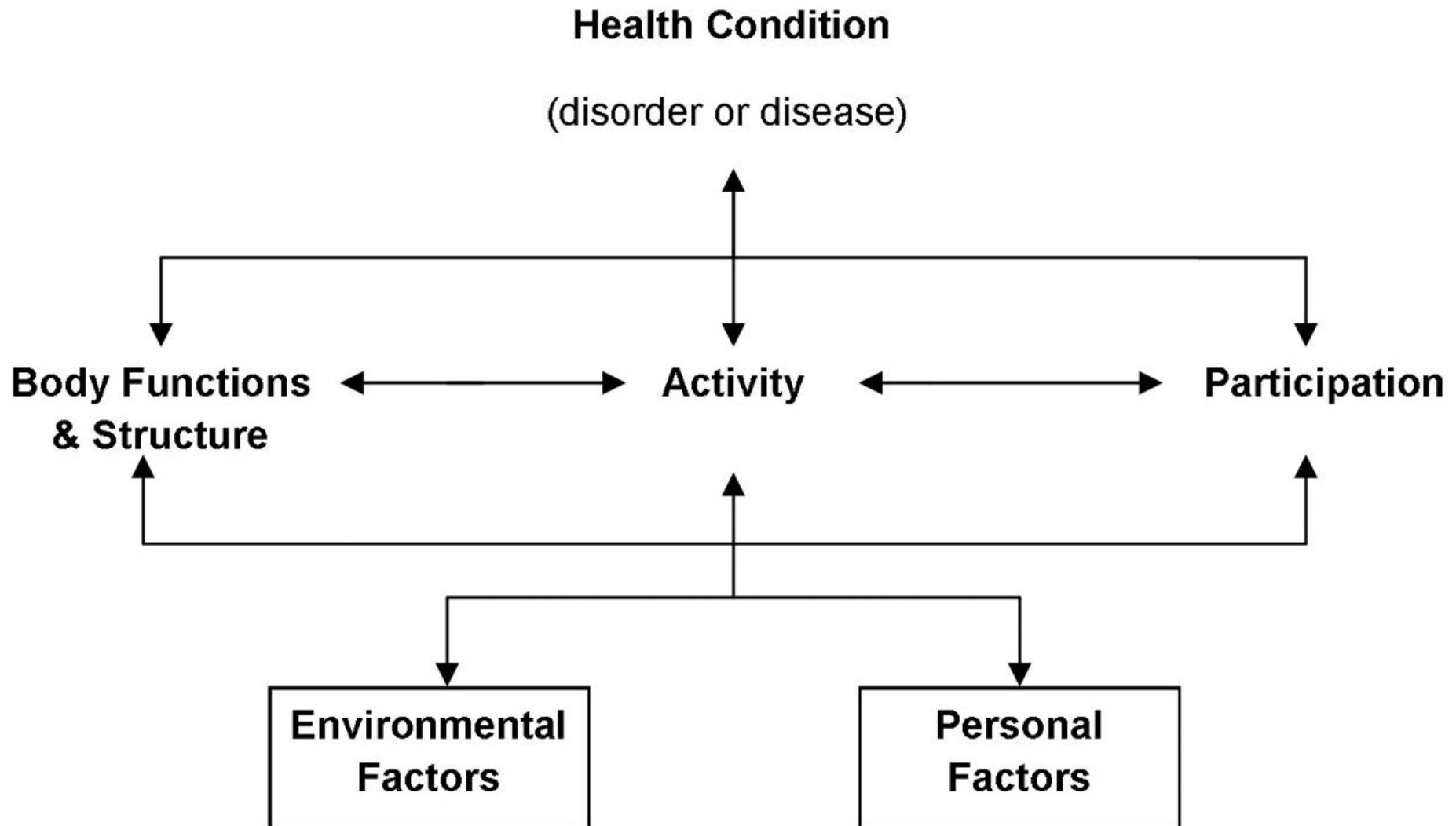


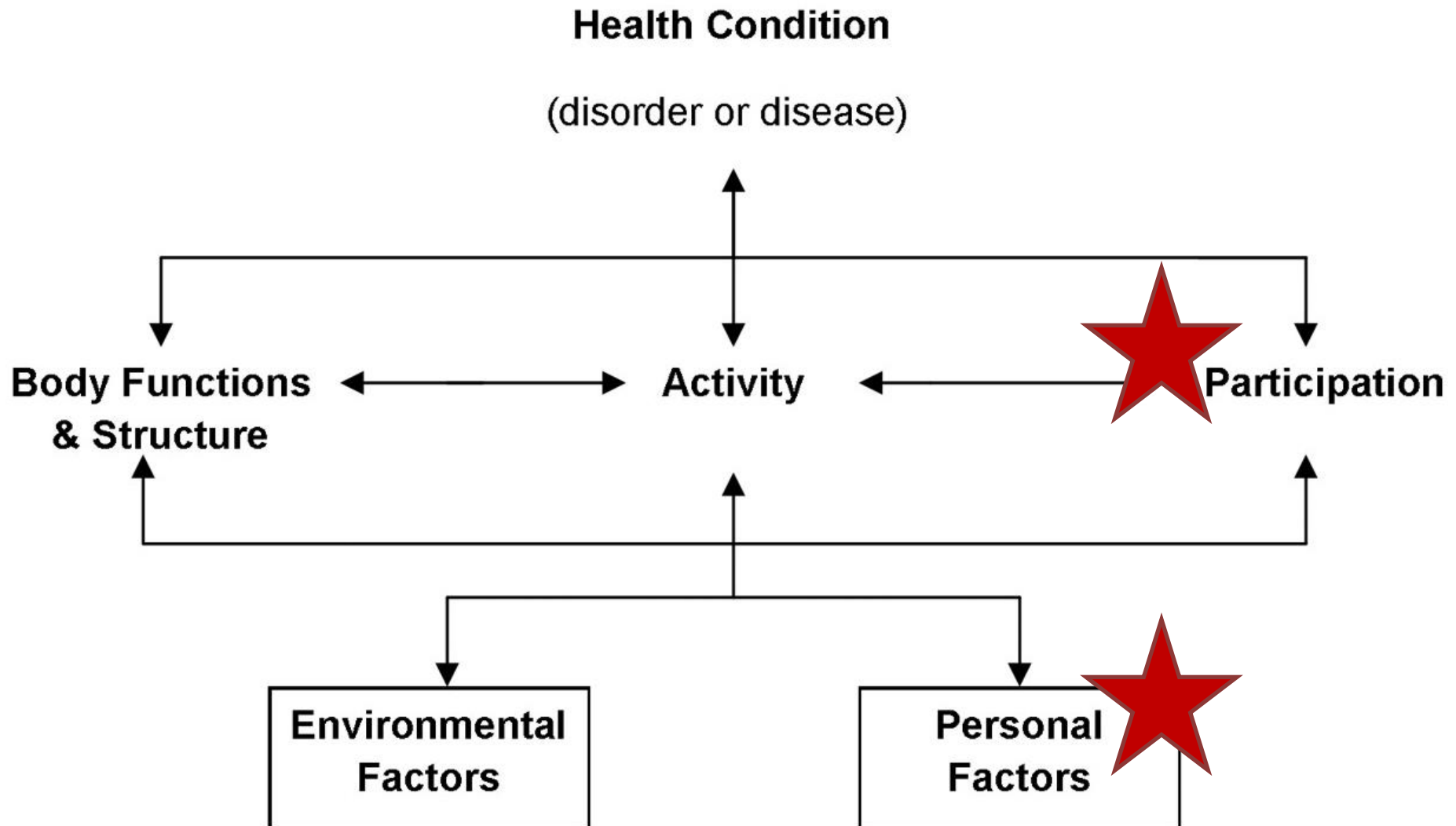
Justice

ICF

“Participation is regarded as a chief indicator of child health, regardless of diagnosis or functional ability.”

The World Health Organization







What information
matters most?

Participation



A photograph of a wooden structure under construction. The structure consists of a horizontal wooden beam supported by several vertical concrete pillars. Ladders are leaning against the structure. The background shows a grassy area and trees.

Participation

Personal Factors

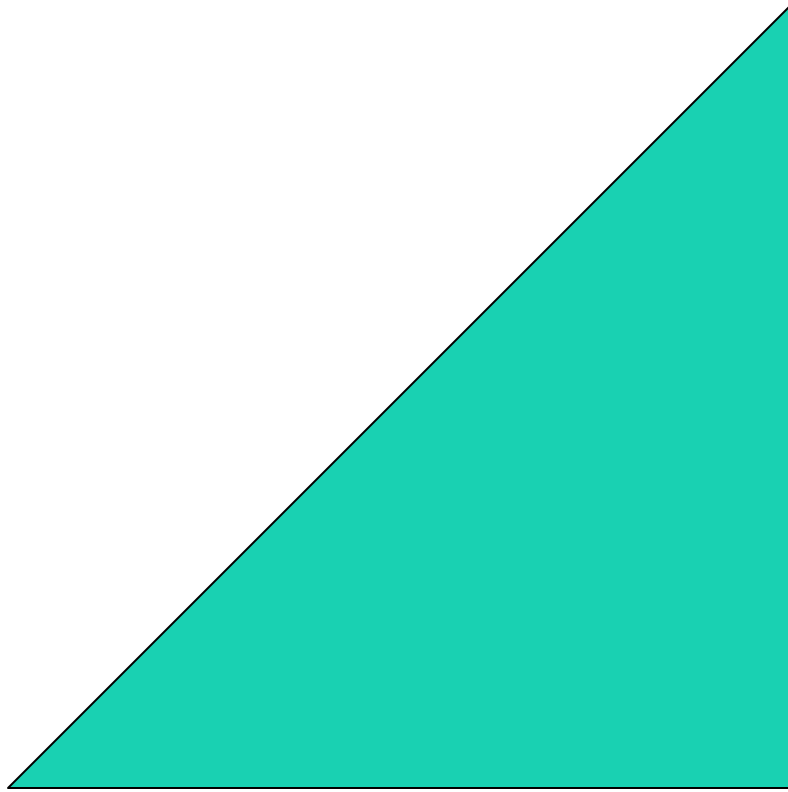
Environmental Factors



Assessment in FES

“The professional responsibility of the clinician is to determine the appropriate allocation of . . . services that best serve the child and family.”





Subjective



Objective

Standardized
Testing

**Medical Review
& Interview**

**Clinical
Observations**





















**Medical
Necessity
or Risk**

Contextual Factors

Body Structures
and Function
Deficits

Activity
Impairments

Participation
Restrictions

Body Structures and Function

Physiological Measures

- RR
- Reflex response
- State regulation
- Activity and sleep logs
- Intake and output logs
- Weight and height: growth velocity

Tools Utilized

Interview Guides

- Pre-Feeding Skills Questionnaire

Physical Measures

- RR, HR
- State
- Reflex testing

Growth Charts

- WHO
- CDC

Activity & Participation

- Caregiver led feeding trial
- Skilled feeding trial
- Modified bedside
 - Cervical Auscultation
- Feeding and motor testing

Assessments Utilized

Pre-Feeding Skills Checklist

- Global Approach
- Birth-24 months
- Norm referenced

AIMS

- Motor
- 0-18 months
- Standardized

GMA

- Motor
- Pre-term to 4 months
- Criterion referenced

Pre-Feeding Skills Checklist: A Global Approach

Skill	Spontaneous	Facilitation	Age Equivalency
Positioning			
Food Type			
Quantity/ Volume			
Oral Motor Pattern			
Swallow			

Environmental Factors

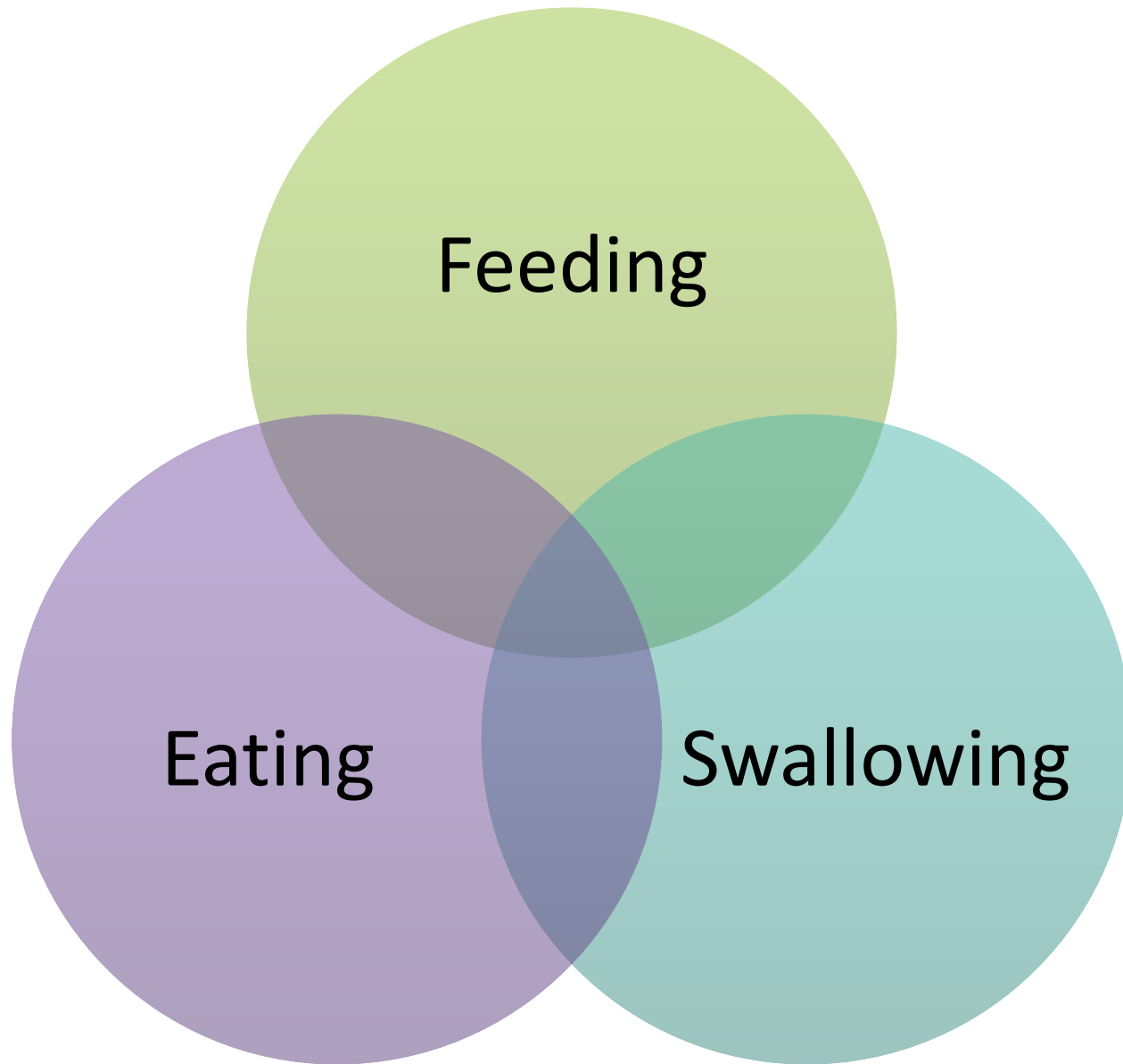
- Affordance in the Home Environment for Motor Development-Infant Scale (AHEMD-IS)
 - 3-18 months
- Affordance in the Home Environment for Motor Development-Self-Report (AHEMD-SR)
 - 18 months-3 years

AHEMD-IS

Physical Space	Characteristics
Outside	
Inside	

Daily Activities	Time Spent	Comments
Interacting with Caregivers		
Interacting with Others		
Awake in carrying device		
Awake in seating device		
Awake in walking device		
Awake in pen, crib or bed		
Awake on tummy		
Free to move		

Play Materials	Description	Quantity
Suspended toys		
Hand toys		
Plush and water toys		
Swings, exersaucers, jumpers		
Toys for pushing/pulling		
Pop-up, spinning, and Roly-poly toys		
Blocks		
Books		
Balls		
Locomotion support		
Musical instruments and toys		



Contextual Factors

Body Structures
and Function
Deficits

Activity
Impairments

Participation
Restrictions



Defensible Documentation

“Documentation tells others about the unique and valuable services you provide...”

Web PT

ICF Clinical Summary

Child is a 42 week AGA female with hx of premature delivery at 30 weeks gestation. Diagnoses include RD, ROP, grade 4 IVH, feeding difficulties and increased tone on neurological exam. Child was referred for risk of weight plateau/loss and poor neurodevelopmental outcomes due to medical complications.

According to the Pre-Feeding Skills Checklist by Morris and Klein, child presents with feeding skills below normative gestation of 40 weeks. Her feeding pattern is marked by high respiratory recovery need creating inefficiency in the feeding pattern. Feeding risk is confirmed by analysis of her growth chart as infant places in less than the 7th percentile for age and family relies on unsafe constipation management practices to drive hunger. Furthermore standardized testing administration (AIMS) revealed scoring in less than the 5th percentile indicating increased risk of poor neurodevelopmental advancement.

This child's body structure/function deficits for the activity of feeding include lack of suction generation, poor oral seal maintenance, excessive jaw excursions 2/2 instability and utilization of a NNS pattern. Due to these oral phase deficits, infant also is at risk of penetration/aspiration if not fed appropriately. Unfortunately her motor strength and endurance especially in gravity impacted positions, further compounds her difficulty in eating efficiently and regulating her state as is needed for social-emotional and cognitive growth.

Environmental risk factors also are present which threaten the family's ability to participate in safe mealtimes with their child. The caregiver presents with skilled training needs as well as need for support to execute safety improvements across feeding, sleeping and play routines. Medical risk/comorbidities are seen in risk of weight loss/plateau, nutritional deprivation, dehydration, and illness/injury risk due to environmental factors.

Overall, child presents with a HIGH (SEVERE) need for skilled therapeutic intervention.

Clinical Summary

- Age
- Diagnosis
- Referral reason/risk
- Testing results (Standardized)
- ICF impairments
- Medical necessity clarification
- Severity determination

EOC and Dosage Statement

This child qualifies for a **progressive** episode of care with focus on the following ICF domains: body structures/functions, activity, and environmental factors in order to maximize participation in safe family mealtimes and developmentally appropriate routines. All domains will be addressed or incorporated into treatment through: caregiver training, skilled activity selection, activity modification/grading, home programming, and direct intervention.

Dosage: Intervention 2 x week for 4 weeks to allow comprehensive training of caregiver, followed by intervention 1 x week for 3 months for developmental following; visits not to exceed 20 occurrences.

EOC and Dosage Statement

- EOC type
- ICF focus
- Intervention focus
- Dosage
 - Frequency
 - Duration
 - Length
 - Occurrence maximum



Tools to Consider

“Man is a tool-using animal. Without tools he is nothing, with tools he is all.”

Thomas Carlyle

Additional Assessments

- **Dysphagia Disorders Survey (DDS)**
 - Dysphagia Management Staging Scale (DMSS)
 - Choking Risk Assessment (CRA)
 - Pneumonia Risk Assessment (PRA)
 - Standardized
 - 2 years through adulthood
 - Requires certification
 - www.nutritionalmanagement.org

Additional Assessments

- Schedule for Oral-Motor Assessment (SOMA)
 - 8-24 months
 - Reilly
- Oral-Motor Feeding Rating Scale
 - 1 year-adulthood
 - Jelm
 - www.amazon.com
- Multidisciplinary Feeding Profile (MFP)
 - Age 6-18 years
 - For severe feeding disorders
 - Kenny

Additional Assessments

- Neonatal Oral-Motor Assessment Scale (NOMAS)
 - Palmer 3 day certification, renewal every 2 years
 - www.nomasinternational.org
- Early Feeding Skills (EFS)
 - Training required
 - Thoyre, Shaker, and Pridham
 - www.Shaker4SwallowingandFeeding.com
- Behavioral Pediatrics Feeding Assessment Survey (BPFAS)
 - Crist and Napier-Phillips
- CEBI
 - Archer, Rosenbaum, and Streiner

Outcomes

“better care, smarter spending, and healthier people”

CMS

$$83 + 38 = 121 \quad 56 + 78$$

$$\begin{array}{r} 83 \\ + 38 \\ \hline 121 \end{array}$$

$$\begin{array}{r} 56 \\ + 78 \\ \hline \end{array}$$

$$\begin{array}{r} 68 + 52 = \\ 68 \\ + 52 \\ \hline 120 \end{array}$$





Standardized or Criterion Referenced Retesting

- Reliability: Test-Retest



Intervention Impact

- GAS & AusTOM
- Goal Graphing (EMR)



EOC Parameters



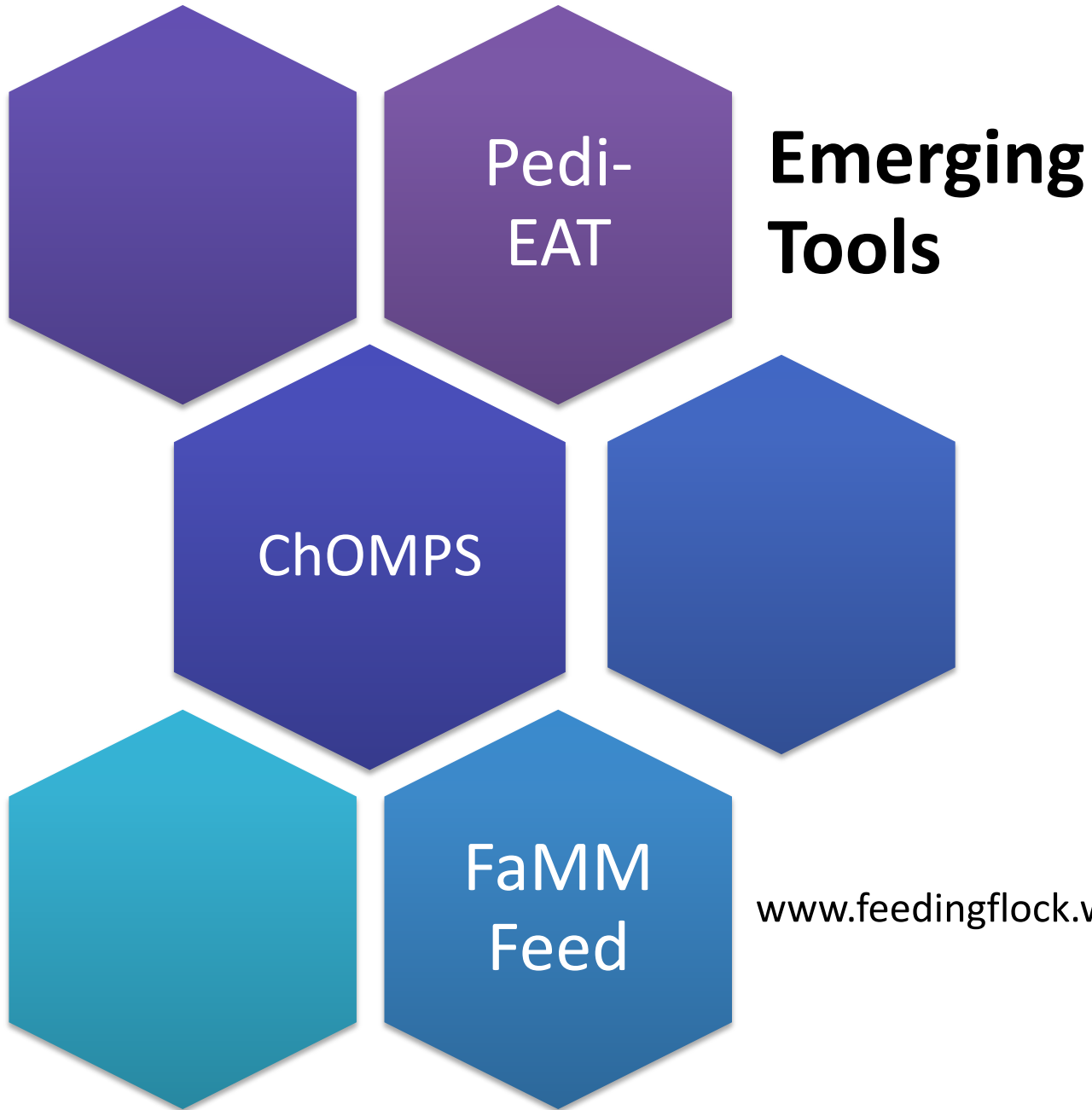
Caregiver/Client Satisfaction

- Published Questionnaires
- Facility Questionnaires

Outcome Measure Resources

- GAS App
 - ann.chapleau@wmich.edu
- GAS training
 - www.csesa.fpg.unc.edu
 - www.elearning.canchild.ca PDF
- Outcome report training
 - www.rehabmeasures.org
- Feeding Matters
 - www.feedingmatters.org





Our Conclusions

“ICF will be an essential basis for the standardization of data concerning all aspects of human functioning and disability around the world”

WHO, 2001

Clinician

```
graph LR; Clinician[Clinician] --- ICF[Utilize ICF]; Clinician --- Tools[Assess with Standardized and Criterion Referenced Tools]; Clinician --- Evidence[Supported Clinical Decisions with Empirical Evidence]; Clinician --- Outcomes[Report Outcomes];
```

Utilize ICF

Assess with Standardized
and Criterion Referenced
Tools

Supported Clinical
Decisions with Empirical
Evidence

Report Outcomes

*“model the way,
inspire a shared
vision, challenge
the process,
enable others to
act, encourage the
heart”*



Certificates of Attendance & Survey

Please let us know your thoughts.

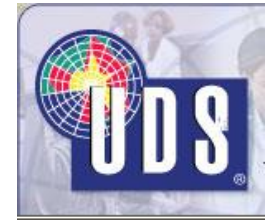
A link for a survey will be sent to all registrants. Please share with all attendees.

A certificate of attendance may be requested via the survey.

[surveymonkey.com/r/
IPRCwebinarsurvey](https://surveymonkey.com/r/IPRCwebinarsurvey)

CEUs for Occupational Therapists

IPRC would like to thank to our partners at **WeeFIM** for sponsoring today's CEUs.



To obtain CEUs for today's event, each participant must have attended the full presentation and complete an online evaluation in order to download a certificate.

An evaluation link and instructions will be sent to all registrants. Please share with all attendees.





IPRC
International
Pediatric Rehabilitation
Collaborative

Questions?

**Cuyler M Romeo, M.O.T.,
OTR/L, SCFES, CLC**

Mealtime Connections, LLC

cuyler@mealtimeconnections.com

832-687-1074

Discussion Questions

Utilize these questions to springboard discussion at your own organization.

1. Consider your feeding documentation. Are there changes that could be made to improve the quality of information provided? What steps could be taken to ensure that certain information is captured by all clinicians?
2. Consider your feeding population. Are they receiving care in the appropriate setting / therapeutic model based on their needs? Does my organization have the right partners?
3. Consider your assessment tools. Are there additional tools that should be considered for use? How is the information obtained used to drive care?
4. Consider the ICF Model. Does my staff understand it with regard to the feeding client?

Assessment Information

- DDS
 - www.nutritionalmanagement.org
- SOMA
 - Reilly S, Skuse D, Mathisen B, Wolke D. The objective rating of oral-motor functions during feeding. *Dysphagia*. 1995;10:177–191.
 - Ko MJ, Kang MJ, Ko KJ, Ki YO, Chang HJ, Kwon J-Y. Clinical Usefulness of Schedule for Oral-Motor Assessment (SOMA) in Children with Dysphagia. *Annals of Rehabilitation Medicine*. 2011;35(4):477-484. doi:10.5535/arm.2011.35.4.477.
- Clinical Feeding Evaluation of Infants
 - Wolf LS, Glass RG. *Feeding and Swallowing Disorders in infancy: assessment and management*. 1st ed. Arizona: Therapy Skill Builders; 1992. pp. 85–147.
- PSAS
 - Morris S.F., (1982). *Pre-speech assessment scale: A rating scale for the measurement of pre-speech behaviors form birth to two years*. Clifton, NJ: J.A. Preston Corp.
- Pre-Feeding Skills Checklist
 - Morris SE, Klein MD. *Pre-feeding skills: a comprehensive resource for feeding development*. 2nd ed. San Antonio: Therapy Skill Builders; 2000. pp. 72–89.
- Oral-motor Feeding Rating Scale
 - Jelm JM. *Oral-motor feeding rating scale*. 1st ed. Arizona: Therapy Skill Builders; 1990. pp. 1–13.
 - www.amazon.com

- MFP
 - Kenny DJ, Koheil RM, Greenberg J, Reid D, Milner M, Moran R, Judd PL. Development of a multidisciplinary feeding profile for children who are dependent feeders. *Dysphagia*. 1989;4:16–28.
- BPFAS
 - Crist W, Napier-Phillips A. Mealtime behaviors of young children: a comparison of normative and clinical data. *J Dev Behav Pediatr*. 2001;22(5):279–286
- CEBI
 - Archer LA, Rosenbaum PL, Streiner DL. The Children's Eating Behavior Inventory: Reliability and validity results. *J Pediatr Psychol* 1991; 16: 629-42.
- NOMAS
 - www.nomasinternational.org
- EFS
 - Thoyre SM, Shaker CS, Pridham KF. The Early Feeding Skills Assessment for Preterm Infants. *Neonatal network : NN*. 2005;24(3):7-16.
 - Thoyre, S.M., Shaker, C.S., & Pridham, K.F. (2007; updated in 2009). *Manual for administration of the early feeding skills assessment (EFS)*. Chapel Hill: Ed. of the University of North Carolina.
 - www.shaker4swallowingandfeeding.com
- Feeding Interview Questionnaires
 - Logemann JA. *Evaluation and treatment of swallowing disorders*. 2nd ed. San Diego: College Hill Press; 1998. pp. 168–180.
 - Arvedson JC, Brodsky L. *Pediatric swallowing and feeding: assessment and management*. 2nd ed. San Diego: Publishing group inc; 1993. pp. 360–361.
 - Wolf LS, Glass RG. *Feeding and Swallowing Disorders in infancy: assessment and management*. 1st ed. Arizona: Therapy Skill Builders; 1992. pp. 85–147.
 - Morris SE, Klein MD. *Pre-feeding skills: a comprehensive resource for feeding development*. 2nd ed. San Antonio: Therapy Skill Builders; 2000. pp. 72–89.
 - Marcus, S. & Brenton, S. (2013). *Infant and child feeding and swallowing: Occupational therapy assessment and intervention*. Bethesda, MD: AOTA Press.

Additional References

- Howe TH, Lin KC, Fu CP, Su CT, Hsieh CL. A review of psychometric properties of feeding assessment tools used in neonates. *J Obstet Gynecol Neonatal Nurs* 2008; 37: 338–49.
- Howe TH, Sheu CF, Hsieh YW, Hsieh CL. Psychometric characteristics of the Neonatal Oral-Motor Assessment Scale in healthy preterm infants. *Dev Med Child Neurol* 2007; 49: 915–9.
- da Costa SP, van den Engel-Hoek L, Bos AF. Sucking and swallowing in infants and diagnostic tools. *J Perinatol* 2008; 28: 247–57.
- Zarem C, Kidokoro H, Neil J, Wallendorf M, Inder T, Pineda R. Psychometrics of eth Neonatal Oral Motor Assessment Scale. *Dev Med Child Neurol* 2013; doi: 10.1111/dmcn.12202.
- Arvedson JC. Assessment of pediatric dysphagia and feeding disorders: Clinical and instrumental approaches. *Developmental Disabilities Research Reviews*. 2008;14(2):118–127.
- Thorye, S.M., Pados, B.F., Park, J., Estrem, H., Hodges, E.A., McComish, C., et al. (2014). Development and content validity of the pediatric eating assessment tool (Pedi-EAT). *Am. J Speech Lang Pathol*, 23 (1), 46-59. Doi: 10.1044/1058-0306 (2013/12-0069).
- American Occupational Therapy Association. (2010). Occupational therapy code of ethics and ethics standards (2010). *American Journal of Occupational Therapy*, 64(6, Suppl.), S17–S26. <http://dx.doi.org/10.5014/ajot.2010.64S17>
- American Occupational Therapy Association. (2013). Guidelines for documentation of occupational therapy. *American Journal of Occupational Therapy*, 67(6, Suppl.), S32–S38. <http://dx.doi.org/10.5014/ajot.2013.67S32>
- American Occupational Therapy Association. (2007). Specialized knowledge and skills in feeding, eating, and swallowing for occupational therapy practice. *American Journal of Occupational Therapy*, 61, 686–700. <http://dx.doi.org/10.5014/ajot.61.6.686>

- Center for Medicare and Medicaid Services (2014). Mapping Therapy Goals to the ICF. On Line:
http://www.cms.gov/Medicare/Billing/TherapyServices/downloads/Mapping_Therapy_Goals_ICF.pdf.
- Chiarello LA, Palisano RJ, Maggs JM, et al. Family priorities for activity and participation of children and youth with cerebral palsy. *Phys Ther*. 2010;90:1254–1264.
- Einspieler, C. & Prechtl, H.R/F., (2005). Prechtl's assessment of general movements: A diagnostic tool for the functional assessment of the young nervous system. *Mental Ret and Dev Disab Res Reviews*, 11: 61-67.
- Gannotti, M. E., Christy, J. B., Heathcock, J. C., & Kolobe, T. H. (2014). A Path Model for Evaluating Dosing Parameters for Children With Cerebral Palsy. *Physical Therapy*, 94(3), 411-421. Accessed March 16, 2016. <http://dx.doi.org/10.2522/ptj.20130022>
- Howe T-H, Lin K-C, Fu C-P, Su C-T, Hsieh C-L. A review of psychometric properties of feeding assessment tools used in neonates. *J Obstet Gynecol Neonatal Nurs*. 2008;37(3):338–349.
- Moore, J. and Baum, C. (2014). Measuring Progress: Using the Rehabilitation Measures Database to Increase Knowledge About Outcome Measurement. *OT Practice* 19(7), 11–15. <http://dx.doi.org/10.7138/otp.2014.197f2>
- Novak, I. (2012). Evidence to practice commentary: Is more therapy better? *Phys & Occup Ther in Pediatr*, Early online: 1-5, DOI: 10.3109/01942638.2012.726894

- Romano, M. Schultz, T., et al. (2014). The diagnostic test accuracy of clinical swallow assessment for oropharyngeal aspiration: a systematic review. JBI Database of Sys Rev and Imp Reports. 12 (8), 259-329. DOI: <http://dx.doi.org/10.11124/jbisrir-2014-1372>
- Stewart, D. and Rosenbaum, P. (2003). The International Classification of Functioning, Disability, and Health (ICF): A Global Model to Guide Clinical Thinking and Practice in Childhood Disability. Keeping Current: CanChild Centre for Childhood Disability Research.
- Toward a Common Language for Functioning, Health, and Disability: The International Classification of Functioning, Disability and Health. Geneva, Switzerland: World Health Organization; 2002
- World Health Organization. (2001). International Classification of Functioning, Disability and Health (ICF). Geneva: Author
- Howe, TH., Wang, TN.(2013). Systematic review of interventions used in or relevant to occupational therapy for children with feeding difficulties ages Birth–5 years. Am J Occup Ther; 67(4):405-412. doi: 10.5014/ajot.2013.004564
- www.who.org
- www.ihl.org
- www.feedingflock.web
- www.nashp.org